

**STATE OF CALIFORNIA**

**OFFICE OF THE GOVERNOR**

**APPLICATION FOR APPOINTMENT AS  
JUDGE OF THE SUPERIOR COURT**

1. Name of Applicant:
2. Prior names used by Applicant (include the dates each name was used):
3. Preferred Judicial Position (you are not required to live in the county):  
  
Judge of the Superior Court of the State of California, County of:
4. If you would like to be considered for an appointment as a Superior Court Judge in another jurisdiction, please identify the county or counties in order of preference and describe your ties and connections to, and activities in, each county.
5. Date of Birth: \_\_\_\_\_ Place of Birth: \_\_\_\_\_  
Driver's License Number  
Social Security Number  
California Bar Number  
Admission Date (month/day/year)

Please indicate, by answering yes or no below, whether you meet the mandatory eligibility requirement to serve as a judge on a California court. ("A person is ineligible to be a judge of a court of record unless for 10 years immediately preceding selection, the person has been a member of the State Bar or served as a judge of a court of record in this State." (Cal. Const, Art. VI))

Yes \_\_\_\_\_ No \_\_\_\_\_

If you meet the eligibility requirement for judicial service, please answer the remaining questions set forth in this questionnaire.

**INFORMATION FOR REPORTING PURPOSES**

6. State law requires the Governor's Office to collect, on an aggregate statewide basis, demographic data relative to ethnicity, race, disability, veteran status, gender, gender identity and sexual orientation (Gov. Code, §12011.5, subdivision (n)). To assist the

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Governor's Office with these reporting obligations, applicants are asked to voluntarily

provide the information below. **YOUR ANSWERS TO THESE QUESTIONS ARE PURELY VOLUNTARY AND YOU MAY FREELY SKIP ANY OR ALL OF THESE QUESTIONS AND GO DIRECTLY TO QUESTION 7.** If you choose to respond, use the categories below to choose the one(s) with which you most closely identify.

Please identify your gender:    Male \_\_\_\_\_            Female \_\_\_\_\_

- American Indian or Alaska Native: A person having origins in any of the original peoples of North and South America (including Central America), and who maintain tribal affiliation or community attachment.
- Asian: A person having origins in any of the original peoples of the Far East, Southeast Asia, or the Indian subcontinent. The category includes, but is not limited to people that identify themselves as Cambodian, Chinese, East Indian, Filipino, Japanese, Korean, Malaysian, Pakistani, Thai, or Vietnamese.
- Black or African American: A person having origins in any of the original peoples of Sub-Saharan Africa.
- Hispanic: A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin, regardless of race.
- Native Hawaiian or Other Pacific Islander: A person having origins in any of the original peoples of Hawaii, Guam, Samoa, or other Pacific Islands.
- White or Caucasian: A person having origins in any of the original peoples of Europe, the Middle East, or North Africa.
- Other: Self-identify your race or ethnicity here \_\_\_\_\_

Please identify your sexual orientation/gender identity:

- Heterosexual
- Lesbian
- Gay
- Bisexual
- Transgender

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Please identify if you are a veteran as that term is defined in Section 101(2) of Title 38 of the United States Code:

Yes

No

Please identify if you are person with a mental or physical disability as that term is defined in subdivisions (j), (l), and (m) of California Government Code Section 12926:

Yes

No

## **PERSONAL INFORMATION**

**You are expected to respond fully and honestly to all requests and questions below. Interpret the questions broadly rather than narrowly, and when in doubt, err on the side of disclosure.**

7. Provide your current residence address and county.

\_\_\_\_\_  
Street

\_\_\_\_\_  
City County State Zip

8. Provide your previous residence addresses for the past ten years, and provide the approximate dates you resided at each.

9. Provide your preferred mailing address.

\_\_\_\_\_  
Street

\_\_\_\_\_  
City State Zip

10. Provide your current cell phone, residential telephone number and e-mail address.

Phone: (\_\_\_\_) \_\_\_\_\_ Cell: (\_\_\_\_) \_\_\_\_\_

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Home e-mail: \_\_\_\_\_

11. Are you a citizen of the United States? Yes \_\_\_\_\_ No \_\_\_\_\_

If you are a naturalized citizen, set forth the date and place of your naturalization.

Date: \_\_\_\_\_ Place: \_\_\_\_\_

12. Provide the full name, occupation and business address of your spouse, if married, or your domestic partner, if registered, and the names and birth dates of your children, if applicable.

13. Are you a registered voter? Yes \_\_\_\_\_ No \_\_\_\_\_

a. Identify the county in which you are registered to vote. \_\_\_\_\_ County

14. List all current and past political party affiliations, with dates of affiliation.

15. Identify your State Senator \_\_\_\_\_ and Assembly Member \_\_\_\_\_

16. Identify any languages other than English that you either understand proficiently or speak fluently.

## **EDUCATIONAL INFORMATION**

17. Set forth your educational history, in chronological order, beginning with high school. For each school or other institution attended, provide the name of the institution, the dates you attended the institution, the degrees you received (if any), and the dates you received the degrees.

<u>Schools Attended</u>	<u>From</u>	<u>To</u>	<u>Degree Received</u>	<u>Date Received</u>
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a. Set forth your undergraduate major.

b. Set forth any significant extracurricular activities (e.g., student groups, law review, volunteer activities, etc.) in which you participated while in college or law school.

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## PROFESSIONAL / CAREER INFORMATION

18. Set forth your professional title, and the name of your business, firm or office. Provide your current business or professional address, and the phone number, fax number, and e-mail address.

\_\_\_\_\_  
Professional Title

\_\_\_\_\_  
Business/Firm/Office

\_\_\_\_\_  
Street

\_\_\_\_\_  
City

\_\_\_\_\_  
County

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip

Phone: (\_\_\_\_\_) \_\_\_\_\_ Fax: (\_\_\_\_\_) \_\_\_\_\_

E-mail: \_\_\_\_\_

19. Describe with specificity the nature of your current employment.
20. If you currently practice law, identify your subject-matter areas of emphasis or specialization, if any, including but not limited to, areas of specialization certified by the State Bar of California, and a description of your typical clients.
21. List the approximate month and year in which you passed the Bar exam in this state and in any other jurisdiction in which you are admitted.
22. List all courts in which you are presently admitted to practice, including the date of admission for each court. Provide the same information for administrative agencies that have special admission requirements.
23. If you previously applied for a judicial appointment, specify the year(s) and the position(s) sought. If you interviewed with the Commission on Judicial Nominees Evaluation, please provide the approximate date of your interview.
24. Have you ever been a candidate for judicial office? If so, identify the date(s) of candidacy, the position(s) you sought, the court(s) involved, and whether you were elected.
25. Indicate the periods of your military service, if applicable, including the dates, the branch in which you served, your rank or rate, your serial number, and type of discharge.

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26. Set forth your professional work history, including your current job, in inverse chronological order. For each job, provide:
- the name and address (including county) of the employer,
  - the position held,
  - a brief summary of the job duties and a description of your typical clients,
  - the name and current phone and/or or cell number, and email address of your supervisor,
  - the dates you held the position, and
  - your reason for leaving.

### **QUALIFICATION / SUITABILITY FOR JUDICIAL APPOINTMENT**

27. Describe your personal background, character, personality traits, professional and life experiences, education, training, and/or skills which make you qualified and suitable for a judicial appointment and which you believe enrich your ability to serve as a judge.
28. What role can an attorney or judge play in making our society a better place? Relate any personal or professional efforts you have made in this regard.
29. Why do you want to be a judge? What would you seek to accomplish if appointed?
30. What professional accomplishment are you most proud of?
31. How would you describe your personality?
32. Are you able to perform, with or without reasonable accommodation, the essential requirements of a superior court judge? These requirements include, among other things:
- Attentively receiving, analyzing and concentrating on information for a total of eight or more hours within a work day of reasonable duration;
  - Perceiving a courtroom clearly, reviewing all types of evidence, including exhibits;
  - Reviewing and processing substantial volumes of information, originally presented in written form (e.g., legal briefs, cases, jury instructions, transcripts);
  - Comprehending attorneys and others;
  - Absorbing, analyzing and weighing complex issues quickly and accurately; and,
  - Responding to situations with discretion, judgment, emotional and mental discipline, and restraint while under pressure.

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### **SPECIFIC LEGAL EXPERIENCE**

33. Describe in detail your experience, if any, in the following areas of law-related work:
- a. Civil law.
  - b. Criminal law.
  - c. Juvenile, family or probate law.
  - d. Corporate and/or transactional law.
  - e. Litigation (trial and/or appellate).
  - f. Administrative.
  - g. House or staff counsel.
  - h. Legislative.
  - i. Other law-related work (specify).
34. Within the past five years, approximately what percentage of your law-related work has been devoted to the following:
- a. Litigation.
  - b. Administrative.
  - c. Legislation.
  - d. Alternate dispute resolution, including arbitration or mediation.
  - e. Teaching.
  - f. Other law-related work (specify).
35. In the past five years, describe how frequently you have done the following on behalf of a client (approximate times per month, on average):
- a. Appeared in federal trial and/or appellate court.
  - b. Appeared in state trial and/or appellate court.

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- c. Appeared before an administrative law judge, or other tribunal.
  - d. Appeared before a board, commission, panel, legislative committee, or other body.
  - e. Participated in an alternative dispute resolution proceeding.
  - f. Participated in any other judicial or quasi-judicial proceeding.
36. For your entire career, state the number of cases you have tried to verdict or judgment in federal or state trial courts.
37. For the trials identified in the preceding answer that occurred in the last five years, provide the following additional detail (if more than five trials in the last five years, limit your response to the five most recent trials): (1) case name, (2) case number, (3) court, (4) trial date, (5) type and brief description of the case, (6) the party that you represented, (7) the judge, (8) the names, current addresses and phone numbers of counsel for the other parties, (9) the names, current addresses and telephone numbers of co-counsel, if applicable, and (10) whether it was a jury or non jury trial.
38. For the past five years, list the five most significant matters you have resolved without trial (e.g., by dispositive motion, settlement, negotiation). For each matter, provide the name of the entity or tribunal involved, case name, type and description of case, dates involved, party you represented, name of the judge or other decision maker, resolution or disposition, names, current addresses and telephone numbers of counsel for the other parties, and the names, current addresses and telephone numbers of co-counsel, if applicable.
39. For your entire career, list the ten most significant matters you have handled as counsel (which may include one or more of the matters listed in your response to the preceding questions and may include trials, arbitrations, mediations, or cases that were resolved before trial). For each matter, provide the name of the entity or tribunal involved, case name, type and description of case, dates involved, party you represented, name of the judge or other decision maker, resolution or disposition, names, current addresses and telephone numbers of counsel for the other parties, and the names, current addresses and telephone numbers of co-counsel, if applicable. *In a sentence or two, please explain why you believe each case is significant.*
40. Provide legal citations to all reported cases or decisions identifying you as a counsel of record.
41. For your entire career, estimate the number of depositions you have either taken or defended.
42. For your entire career, estimate the number of oral arguments you have conducted related to a dispositive motion.



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43. To the extent not listed above, provide one or two examples of the most significant law-related activities on which you have worked in the last five years that did not involve an actual “case or controversy” (e.g., policy work, legal research and/or writing, committee or task-force work, public speaking, mentoring, a corporate transaction, bar activities, etc.).
44. If you have taught at a college, university or law school, identify the school and the dates that you taught, and describe the nature of your appointment and the course(s) you taught.

### **JUDICIAL OR QUASI-JUDICIAL EXPERIENCE**

45. Are you currently serving as a judicial officer or quasi-judicial officer:
- a. Identify your judicial or quasi-judicial position: \_\_\_\_\_
- i. Were you appointed? Yes \_\_\_\_\_ No \_\_\_\_\_  
Date of appointment: \_\_\_\_\_
- ii. Were you elected? Yes \_\_\_\_\_ No \_\_\_\_\_  
Date of your election: \_\_\_\_\_
46. If you have served as a judicial officer, or quasi-judicial officer, provide the following information:
- a. The dates you served as a judicial or quasi-judicial officer.
- b. Your duties.
- c. List ten significant cases in which you presided as the judicial officer or quasi-judicial officer. For each case, provide the case name and number, a brief description of the case, the dates involved, and the names, current addresses and telephone numbers of counsel for the parties. Furnish a copy of any opinions, orders or decisions that you rendered in those cases that included substantial discussion of legal issues.  
*Written materials submitted in response to this question must not exceed a total of 25 pages.*
47. Describe the nature and extent of your service, if any, as a judge pro tem, arbitrator, mediator or neutral. Describe the more significant cases and identify the counsel involved, including names, current addresses and phone numbers, and dates of your service.

### **BUSINESS INTERESTS OR OTHER PROFESSIONAL EXPERIENCE**

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48. If you are now an owner, officer, director, manager or supervisor of any business enterprise (whether for-profit or non-profit), identify the name of the enterprise, nature of the business, title of your position, nature of your duties, term of your service, and whether you intend to resign the position immediately upon your appointment to judicial office. If you do not intend to resign, please provide the reasons.
49. During the past five years, have you received any fees or compensation of any kind, other than for legal services rendered, from any business enterprise, institution, organization or association? If so, identify the source of the compensation, nature of the business enterprise, institution, organization or association, dates the compensation was paid, and the nature of any services rendered by you.
50. Have you ever held public office other than a judicial office, or have you ever been a candidate for such an office? If so, provide the details, including the office(s) involved, whether you were elected or appointed, the county and state in which you held office, and the dates of your service.
51. Have you ever held or applied for a commission, certificate, credential or license which required proof of good character, other than admission to practice law? If so, identify the date(s) of application, the issuing authority, the disposition of any such application, and, if granted, your number and present status.

### **WRITING**

52. Describe your significant legal writing. In addition, if you have written, edited or published any legal or non-legal books, articles, letters to the editor or reports, please list them, giving full citations, dates, and a general description of the subject matter. Please attach a copy of any letters to the editor or op-ed articles you have authored. *Letters to the editor and/or op-ed articles submitted in response to this question must not exceed a total of 25 pages.*

### **HONORS AND AWARDS**

53. List any honors, prizes, awards, scholarships, fellowships or other forms of recognition with dates you have received.

### **ORGANIZATIONS, MEMBERSHIPS AND COMMUNITY ACTIVITIES**

54. List all bar associations, committees, and legal professional societies in which you are, or have been, a member. In addition, give the titles and dates of any offices you held or subcommittees on which you served.
55. List all organizations, boards, clubs, associations or other groups (other than the bar associations and professional societies identified above) in which you have been a member. Regarding those organizations, identify the titles and dates of any offices you have held or committees on which you served.

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56. Are you a member of any club, organization, association or group that by policy or practice prohibits or limits its membership on the basis of race, color, religion, sexual orientation, gender, disability or national origin? Have you ever been? If so, identify the organization and provide details.
57. Describe the nature and extent of any free legal services you have provided to non-profit organizations, indigent individuals or others, including the names and addresses of the organizations and/or individuals and dates of service.
58. Describe the nature and extent of your involvement in community activities or community affairs other than those associated with the legal profession.
59. Describe your hobbies and/or personal interests.

### **ADDITIONAL QUESTIONS**

60. Have you ever been:
  - a. Summoned, cited, arrested, taken into custody, indicted, convicted or tried for, or charged with, or pleaded guilty or no contest to, the violation of any felony, misdemeanor, or infraction violation (excluding traffic infractions)?
  - b. Ordered to appear before any prosecuting attorney, investigative agency, or administrative tribunal, in any matter, military or civil?
  - c. Ordered to appear as a party to any grand jury investigation in which you were identified as a subject, or in which you appeared as a witness. If so, provide the details, including the date, description of the alleged offense, locality and disposition.
61. Have you ever been a party to, or claimed an interest in, any civil proceedings (including dissolution of marriage, bankruptcy, damage suits, etc.)? Include all legal proceedings in which you were a party in interest, a material witness, or named as a co-conspirator or co-respondent. Do not list proceedings in which you were merely a guardian ad litem or stakeholder. Provide the names, current addresses and telephone numbers of counsel for the parties in each case.
62. As an attorney or judicial officer, have you ever been:
  - a. Disciplined or cited for a breach of ethics or unprofessional conduct by, or been the subject of a complaint to, any court, administrative agency, bar association, disciplinary committee or other professional group?
  - b. Sanctioned in excess of \$1,000 by any court or for contempt in any court or tribunal having the power of contempt? If so, give the details, including the relevant dates and the names, current addresses and telephone numbers of the judges involved

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and the counsel for the adverse parties. (Identify every complaint even if it was dismissed, did not result in disciplinary action or a finding of contempt.)

63. Have you ever been charged in any civil, criminal or administrative action with conduct alleged to involve moral turpitude, dishonesty and/or unethical conduct? If so, provide the particulars, including the applicable dates and the names, current addresses and telephone numbers of the counsel for the adverse parties.
64. As a member of any organization, or as a holder of any office or license (including a driver's license), have you ever:
  - a. Been suspended, or otherwise disqualified, or had such license suspended or revoked?
  - b. Been reprimanded, censured or otherwise disciplined?
  - c. Had any charges, formal or informal, been made or filed against you? If so, state the complete facts and identify the dates, the disposition, and the organization in possession of the relevant records.
65. Have you ever held a bonded position? If so, specify the nature of the position(s), the date(s), and the amount of bond.
  - a. Has anyone ever sought to recover upon your bond or to cancel your bond? If yes, provide the details.
  - b. Have you ever been refused bond? If yes, provide the details.
66. Have you ever been sued by a client? If so, provide the particulars, including the case name and number, court, resolution, and name, current address and telephone number of counsel for the plaintiff.
67. Have you or your professional liability insurance carrier ever settled a claim against you for professional malpractice? If so, provide the particulars, including the amounts involved and the name, current address and telephone number of the claimant and claimant's counsel.
68. Are there any unsatisfied judgments against you, or are you in default in any way in the performance or discharge of any duty or obligation imposed upon you by decree or order of any court (including any orders for child and spousal support)? If so, state the full details.
69. Has a tax lien or other collection procedure ever been instituted against you by federal, state or local authorities? If so, provide the particulars, including the amount at issue, the applicable dates, and the status of the matter.
70. Have you always filed appropriate tax returns as required by federal, state, local and other government agencies? If not, provide an explanation, including the amount at

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issue, date and resolution.

71. If any articles or allegations have ever been printed or broadcast or otherwise made public concerning you or your activities, views or statements (irrespective of factual accuracy) which may reflect adversely on your character or job performance, identify the material, explain fully the nature of the assertions involved, and attach a copy of each publication to this application. (If the volume is extensive, attach representative samples of the publications and, if not self-identifying, provide the title, publication, broadcast date and or google description where articles or broadcast can be viewed.) *Written materials submitted in response to this question must not exceed a total of 25 pages.*
72. Are you aware of any individual(s) or group(s) who might oppose your appointment? If so, identify the potential opponents and provide any needed explanation.
73. Describe any aspects of your personal, business, educational, professional conduct or background, which might reflect adversely on you or the Governor or might embarrass you or the Governor or which you believe should be disclosed to the Governor in connection with your application for appointment to judicial office.

### **FURTHER INFORMATION**

74. Please list five references (name, current address, telephone and/or cell number, email address, and a short one-sentence description of your relationship to the reference).

### **NOTE:**

**In addition to submitting your electronic application, in order to be considered for appointment by Governor Newsom, you must scan and email the following materials to [judappts@GOV.CA.GOV](mailto:judappts@GOV.CA.GOV)**

- a) **Your photograph and a current resume,**
- b) **All written materials submitted in response to any of the above questions. Your submission must identify the specific question to which your written materials correspond,**
- c) **Your signed authorization, certification and release form.**

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**CERTIFICATION, AUTHORIZATION AND RELEASE**

I hereby acknowledge and agree that my application may be given to the Commission on Judicial Nominees Evaluation of the State Bar of California (“JNE Commission”) in the event my name is submitted for evaluation by that agency; and that all or portions of my application or the information contained therein may be given to or shared with the judicial evaluation committee of my local bar association (if that association is providing such assistance to the Governor’s Office) and other committees and/or individuals who have been asked by the Governor to assist him in the evaluation of applicants for judicial appointment. I further acknowledge and agree that for the purpose of aiding the Governor in evaluating my background and qualifications, the foregoing organizations and individuals will be entitled to seek and obtain information and documents concerning me from firms, companies, corporations, law schools and other educational institutions, attorneys, judges and other third parties, including those mentioned in my application.

I hereby authorize any governmental, licensing or law enforcement agency, including but not limited to the State Bar of California and the Commission on Judicial Performance, and major national credit reporting organizations (collectively referred to as “agencies”) to release to the Governor of the State of California and to the JNE Commission any and all information which those agencies may have about me (whether public, personal or confidential) for the purpose of aiding the Governor in evaluating my background and qualifications for appointment to the trial or appellate court. I understand that I will not receive and am not entitled to know the contents of confidential reports received from these agencies and I further understand that these reports are privileged, except that I am entitled to receive a copy of reports from major national credit reporting agencies and the Commission on Judicial Performance.

I hereby release and discharge the Governor and his representatives, the JNE Commission, all agencies, their agents and representatives, and any person furnishing information from any and all liability of every nature and kind arising out of the furnishing, use and inspection of documents, records and other information and the investigation of my background and qualifications, and this release shall be binding on my legal representatives, heirs and assignees.

**I hereby declare under penalty of perjury under the laws of the State of California that the answers and statements provided by me in the forgoing application are true and correct.**

Type or \_\_\_\_\_ Signature \_\_\_\_\_ Print  
Name

Executed at \_\_\_\_\_ on \_\_\_\_\_, \_\_\_\_\_